Application No.: 09/658,204

**CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8)** 

DHC Thu



I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being submitted by First Class mail to the US Patent and Trademark Office: Commissioner for Patents, PO Box 1450, Alexandria, A 22313-1450 or transmitted by facsimile to the U.S. Patent and Trademark Office, Fax No. (703)872-9306.

: 5.20.05

By: Sure a. vorres

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re: Patent Application of Charles A. Eldering

Conf. No.:

3450

Group Art Unit:

2616

Appln. No.:

09/658,204

Examiner:

Usha Raman

Filing Date:

08 September 2000

Att'y. Docket No.:

T723-00

Title: Targeted advertising through electronic program guide

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## NOTIFICATION OF CHANGE OF SMALL ENTITY STATUS and CORRECTION OF FEES PAID UNDER 37 C.F.R. 1.28

The above-identified patent application ("the Application") was properly filed and prosecuted as a small entity under 37 C.F.R. 1.27. The Application was initially entitled to small entity status since the assignee, Expanse Networks, Inc., was a small business concern under 37 C.F.R. 1.27(a)(2). On August 18, 2004, all rights in the Application were assigned to Prime Research Alliance E., Inc. Applicant erroneously believed that Prime Research Alliance E., Inc., was also a small business concern as defined by 37 C.F.R. 1.27(a)(2), and therefore believed that the Application was still entitled to small entity status. Applicant has recently learned that Prime Research Alliance E., Inc. may not satisfy all the criteria for a small business concern. Accordingly, the Application may no longer qualify for small entity status.

Applicant has diligently gathered data related to the fees paid as a small entity from August 18, 2004 to present. In accordance with 37 C.F.R. 1.28(c)(2), the following table summarizes for each applicable filing the fees paid as a small entity, the current 05/24/2005 ANDNDAF1 00000021 501535 09658204

01 FC:1201

400.00 DA

02 FC:1202 400.00 DA 03 FC:1252 450.00 DA Application No.: 09/658,204

large entity fee, the current amount owed as a result of the error in small entity payment and the total amount owed for all filing.

| Item  | Date     | Small<br>Entity Fee<br>Paid | Current Large<br>Entity Fee | Amount to be<br>Paid |
|---|----------|-----------------------------|-----------------------------|----------------------|
| Petition for Extension of Time (two-months) | 09/23/04 | \$210.00                    | \$450.00                    | \$240.00             |
| Additional Claims (8)                       | 09/23/04 | \$72.00                     | \$400.00                    | \$328.00             |
| Additional Independent<br>Claims (2)        | 09/23/04 | \$86.00                     | \$400.00                    | \$314.00             |
| Total                                       |          |                             |                             | \$882.00             |

In accordance with 37 C.F.R. 1.28(d), Applicants respectfully request that the above-identified application be changed to large entity status.

The Commissioner is hereby authorized to charge Deposit Account No. 501535 as noted below. A duplicate copy is enclosed.

Small Entity Correction Fees under 37 C.F.R. §1.28 in the amount of <u>\$882.00</u>.

Any deficiencies or overpayments in the above-calculated fee.

Date: May 20, 2006

Respectfully submitted,

Charles A. Eldering

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